

AMENDMENTS TO THE CLAIMS

1. (currently amended) A micro-shape transcription method comprising:  
preparing a mold having a transcription face on which a concavo-convex pattern  
is formed,

pressing the transcription face against a base material softened by heating,

then forcibly separating the mold from the base material to transcribe a reverse  
pattern of the concavo-convex pattern to the surface of the base material,

wherein the sectional form of the concavo-convex pattern is rectangular,

wherein when assuming a temperature for pressing the mold against the base  
material as  $T_1$  ( $^{\circ}\text{C}$ ), a temperature for separating the mold from the base material as  $T_2$   
( $^{\circ}\text{C}$ ), thermal expansion coefficients of the mold and the base material as  $\alpha_a$  and  $\alpha_b$ , and  
the maximum distance between the transcription center of the transcription face and the  
concavo-convex pattern as  $d$  (mm), the following relations (1), (2), and (3):

$$T_1 \geq T_2 \quad \dots(1)$$

$$|\alpha_a - \alpha_b| \cdot (T_1 - T_2) \cdot d \leq 4 \times 10^{-2} \quad \dots(2)$$

$$|\alpha_a - \alpha_b| \geq 50 \times 10^{-7}/^{\circ}\text{C} \quad \dots(3)$$

are simultaneously satisfied.

2. (original) The micro-shape transcription method according to claim 1, wherein the  
transcription face of the mold is a plane or stepped plane.

3. (cancelled)

4. (previously cancelled)
5. (original) The micro-shape transcription method according to claim 1 or 2, wherein the concavo-convex pattern has a line width of 100  $\mu\text{m}$  or less.
6. (original) The micro-shape transcription method according to claim 1 or 2, wherein the concavo-convex pattern has a depth of 1  $\mu\text{m}$  or more.
7. (original) The micro-shape transcription method according to claim 1 or 2, wherein the base material uses an optically-transparent thermoplastic resin or glass.
8. (original) The micro-shape transcription method according to claim 7, wherein the thermoplastic resin is selected from the group consisting of polyolefin-, polymethylmethacrylate-, polycarbonate-, norbornane-, and acrylic-based resins.
9. (currently amended) A micro-shape transcription apparatus comprising:
- a first mold means provided with a transcription face having a micro-shape that is rectangular in cross section;
  - a second mold means facing the first mold means and holding a base material thereon;
  - a mechanism for driving at least one of the first and second mold means;
  - a heating source for controlling temperatures of the first and second mold means such that when a temperature for pressing the transcription face against the base material

is  $T_1$  ( $^{\circ}\text{C}$ ), a temperature for separating the transcription face from the base material is  $T_2$  ( $^{\circ}\text{C}$ ), thermal expansion coefficients of the transcription face and the base material are  $\alpha_a$  and  $\alpha_b$ , and a maximum distance between a transcription center of the transcription face and a concavo-convex pattern is  $d$  (mm), the following relations (1), (2), and (3):

$$T_1 \geq T_2 \quad \dots(1)$$

$$|\alpha_a - \alpha_b| \cdot (T_1 - T_2) \cdot d \leq 4 \times 10^{-2} \quad \dots(2)$$

$$|\alpha_a - \alpha_b| \geq 50 \times 10^{-7}/^{\circ}\text{C} \quad \dots(3)$$

are simultaneously satisfied; and

a vacuum chuck for attracting and fixing the base material to the second mold means.

C/ 10. (original) An optical-component manufacturing method wherein a pattern for controlling light of an optical component is formed in accordance with the micro-shape transcription method of claim 1 or 2.

11. (original) An optical waveguide manufacturing method wherein a pattern corresponding to a core of an optical component is formed in accordance with the micro-shape transcription method of claim 1 or 2.

12. (previously added) The micro-shape transcription method of claim 1, wherein  $T_1$  is up to  $180^{\circ}\text{C}$ .

13. (previously added) The micro-shape transcription method of claim 1, wherein  $T_2$  is 150°C.

14. (previously added) The micro-shape transcription method of claim 1, wherein  $T_1$  is 160°C and  $T_2$  ranges from 100-140°C.

15. (previously added) The micro-shape transcription method of claim 1, wherein  $T_1$  is 180° C and  $T_2$  ranges from 100-150° C.

16. (previously amended) The micro-shape transcription apparatus according to claim 9, wherein  $T_1$  is 160°C and  $T_2$  ranges from 100-140°C.

17. (previously amended) The micro-shape transcription apparatus according to claim 9, wherein  $T_1$  is 180° C and  $T_2$  ranges from 100-150° C.

18. (currently amended) A mold for a micro-shape transcription apparatus that molds a base material having a thermal expansive coefficient of  $\alpha_b$  at a temperature  $T_1$  and that separates said mold from the base material while the base material is at a temperature  $T_2$  where  $T_1 \geq T_2$ , said mold comprising:

a ~~material~~ transcription face having a thermal expansion coefficient of  $\alpha_a$ , and

a said transcription face having a maximum distance  $d$  between a transcription center of the transcription face and a concavo-convex pattern of the transcription face is rectangular in cross section,

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wherein  $|\alpha_a - \alpha_b| \geq 50 \times 10^{-7}/^{\circ}\text{C}$ , and

wherein  $|\alpha_a - \alpha_b| \cdot (T_1 - T_2) \cdot d \leq 4 \times 10^{-2}$ .

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